

09-70-02 T

#3 MP/1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): MIN-SHIN MA and ALLAN JON FLIPPIN
Assignee: KC TECHNOLOGY, INC.
Title: BLUETOOTH BASEBAND CONTROLLER
Serial No.: 10/052,877 Filing Date: October 26, 2001
Examiner: Unknown Group Art Unit: 2664
Docket No.: M-10394-1P US

San Francisco, California
September 6, 2002

BOX MISSING PARTS
COMMISSIONER FOR PATENTS
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-
PROVISIONAL APPLICATION - FILING DATE GRANTED

Dear Sir:

In response to the "Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted" mailed by the United States Patent and Trademark Office on March 8, 2002, the following documents are enclosed to complete the filing of the above-identified patent application:

1. A Patent Application Declaration signed by the inventors in compliance with 37 CFR 1.63;
2. Submission of Formal Drawings with forty-five (45) sheets of drawings;
3. Petition for Extension of Time;
4. Copy of Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted;
5. Preliminary Amendment; and
6. Executed Assignment and Assignment Recordation Cover Sheet.

LAW OFFICES OF
SKJERVEN MORRILL LLP
3 EMBARCADERO CENTER
SUITE 2800
SAN FRANCISCO, CA 94111
(415) 434-6000
FAX (415) 434-0646

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account No. 19-2386:

1.	Surcharge for filing declaration on a date later than the filing date of the application.	\$65.00
2.	Assignment Recordal Fee	\$40.00
3.	Four-month extension fee	\$720.00
TOTAL FEES:		\$ 825.00

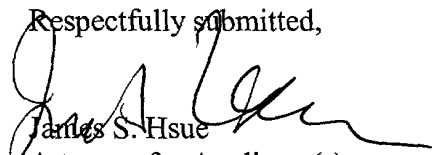
The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 19-2386.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above patent application and justify the filing date of October 26, 2001. Please telephone the undersigned at (415) 217-6000, if there are any questions. This form is being submitted in duplicate.

EXPRESS MAIL LABEL NO:

EL947824150US

Respectfully submitted,


James S. Hsue
Attorney for Applicant(s)
Reg. No. 29,545

LAW OFFICES OF
SKJERVEN MORRILL LLP
3 EMBARCADERO CENTER
SUITE 2800
SAN FRANCISCO, CA 94111
(415) 434-6000
FAX (415) 434-0646

#5



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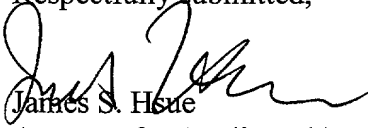
Box MISSING PARTS
Attn: Official Draftsperson
COMMISSIONER FOR PATENTS
Washington, D. C. 20231

SUBMISSION OF FORMAL DRAWINGS

Dear Sir:

Applicants submit forty-five (45) sheets of formal drawings, in the above-named application. If there are any questions regarding these drawings, please call the undersigned at (415) 217-6000.

EXPRESS MAIL LABEL NO:
EL947824150US

Respectfully submitted,

James S. Hsue
Attorney for Applicant(s)
Reg. No. 29,545

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/052,877	10/26/2001	Min-Shin Ma	M-10394-1P US

CONFIRMATION NO. 1815

FORMALITIES LETTER



OC00000007603992

Skjerven Morrill MacPherson LLP
Suite 700
25 Metro Drive
San Jose, CA 95110

09/11/2002 TBESHAH1 00000023 192386 10052877

Date Mailed: 03/08/2002

01 FC:205 65.00 CH

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

~~09/11/2002 TBESHAH1 00000022 192386 10052877~~

FILED UNDER 37 CFR 1.53(b)

~~01 FC:205 65.00 CH~~~~Void date: 09/11/2002 TBESHAH1~~~~09/11/2002 TBESHAH1 00000022 192386 10052877~~~~01 FC:205 65.00 CH~~

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

The following item(s) appear to have been **omitted** from the application:

- Figure(s) **36L** described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and

\$130.00 petition fee (37 CFR 1.17(h)) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b)**. In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY